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Attorney's Docket No.: 2002US303  
Filing Date: January 9, 2002  
Serial No. 10/042,531

Receipt is hereby acknowledged of:

- 1) Transmittal of Information Disclosure Statement w/Certificate of Mailing by 1st Class Mail
- 2) Information Disclosure Statement w/references

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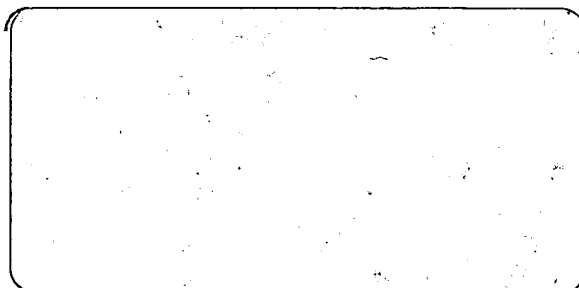
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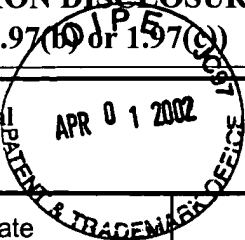
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
2002US303

In Re Application Of: Takanori Kudo et al



Serial No.  
10/042,531

Filing Date  
January 9, 2002

Examiner  
NOT ASSIGNED

Group Art Unit  
1752

Title: **NEGATIVE DEEP ULTRAVIOLET PHOTORESIST**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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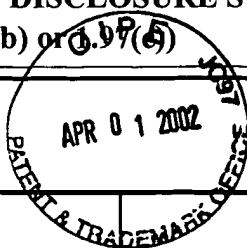
**TC 1700**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.  
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Serial No.  
10/042,531Filing Date  
January 9, 2002Examiner  
NOT ASSIGNEDGroup Art Unit  
1752**NEGATIVE DEEP ULTRAVIOLET PHOTORESIST****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: March 21, 2002

Sangya Jain, Reg. No. 38,504

Clariant Corporation

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Somerville, NJ 08876

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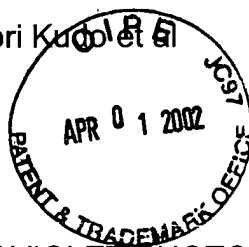
Attorney's Docket No. 2002US303

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: Takanori Kudo et al

Serial No.: 10/042,531

Filed: 01/09/2002



Group Art Unit: 1752

Examiner: NOT ASSIGNED

For: NEGATIVE DEEP ULTRAVIOLET PHOTORESIST

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS  
OF FILING OR BEFORE MAILING OF THE FIRST OFFICE ACTION**

Sir/Madam:

**1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE  
STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(e).

**2. PRELIMINARY STATEMENTS**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**3. COMPLETED FORM PTO-1449 IS ATTACHED.**

**4. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED  
INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE  
SUBMITTED OR NEED BE SUBMITTED**

[ ] This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_. The following

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TC 1700

references were submitted to and/or cited by the Office in this prior application, and therefore, are not required to be provided in this application.

5. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT

Legible copies of all items listed accompany this information statement.

☐ Exception(s) to above:

☐ Items in prior application from which an earlier filing date is claimed for this application as identified in No. 4 above.

6. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.

☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

7. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:



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